

**PATENT** 

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Mani L. Hors Signature

Applicant

: Christopher Latham, et al.

Application No.

: 09/894,814 • : June 27, 2001 •

Filed Title

DEVICE CONTROLLER AND CONTROL ARRANGMERECEIVED

Grp./Div.

: 2632

Examiner

: Nina C. Tong

OCT 2 7 2003

Docket No.

: 45542/DBP/T183

**Technology Center 2600** 

## INFORMATION DISCLOSURE STATEMENT AND **CERTIFICATION UNDER § 1.97(e)(1)**

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Post Office Box 7068 Pasadena, CA 91109-7068 October 8, 2003

## Commissioner:

In compliance with the duty of disclosure under 37 CFR §§ 1.56, 1.97 and 1.98, and in accordance with the provisions in the Manual of Patent Examining Procedure §§ 609 and 707.05(b), enclosed is FORM PTO\SB\08A\B with a listing of references that are known to applicant. Copies of each of the listed references are enclosed.

It is respectfully requested that these references be considered in the examination of this application and identified on the list of references cited on the patent issuing on this application. Applicant also requests that an initialed copy of said FORM PTO\SB\08A\B be entered in the application file and returned to applicant with the next communication from the Office in accordance with MPEP § 609.

Applicant's undersigned attorney hereby certifies, in accordance 37 CFR § 1.97(e)(1), that each item of information contained in the information disclosure statement was first cited in any

## Application No. 09/894,814

communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

Respectfully submitted,
CHRISTIE, PARKER & HALE, LLP

En Prout

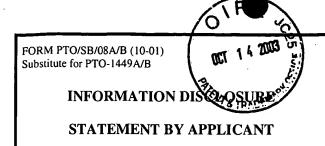
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D. Bruce Prout Reg. No. 20,958 626/795-9900

DBP/dg

Enclosures: PTO\SB\08A\B w/references

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| Attorney Docket Number | 45542/DBP/T183             |  |  |
|------------------------|----------------------------|--|--|
| Application Number     | 09/894,814                 |  |  |
| Filing Date            | June 27, 2001              |  |  |
| Applicant(s)           | Christopher Latham, et al. |  |  |
| Group Art Unit         | 2632                       |  |  |
| Examiner Name          | Nina C. Tong               |  |  |

(use as many sheets as necessary)

| U.S. PATENT DOCUMENTS |                          |  |                                |                        |  |
|-----------------------|--------------------------|--|--------------------------------|------------------------|--|
| EXAMINER INITIALS     | Cite<br>No. <sup>1</sup> | DOCUMENT NUMBER Number - Kind Code <sup>2</sup> (If Known) | PUBLICATION DATE<br>MM-DD-YYYY | NAME OF PATENTEE       |  |
|                       |                          | 4,386,436  | 05-31-1983                     | Kocher et al.          |  |
|                       |                          |  |                                | RECEIVED               |  |
|                       |                          |  |                                | ILCLIATO               |  |
|                       |                          |  |                                | OCT 2 7 2003           |  |
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| FOREIGN PATENT DOCUMENTS |              |   |                                |  |                    |
|--------------------------|--------------|---|--------------------------------|--|--------------------|
| EXAMINER<br>INITIALS     | Cite<br>No.¹ | Foreign Patent Document Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (If Known) | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | T <sup>6</sup> (✔) |
|                          |              | GB 2 007 895 A  | 05-23-1979                     | Greville George Bloodworth                         |                    |
|                          |              | ·   |                                |  |                    |
|                          |              |   |                                |  |                    |
|                          |              |   |                                |  | 1                  |

| OTHER DOCUMENTS   |                          |  |  |
|-------------------|--------------------------|--|--|
| EXAMINER INITIALS | Cite<br>No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. |  |
|                   |                          | European Search Report dated September 25, 2003 for corresponding European Patent EP 01 30 5567  |  |
|                   |                          |  |  |
|                   |                          |  |  |

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|-----------------------|------|--------------------|------|
| EXAMINER<br>SIGNATURE |      | DATE<br>CONSIDERED |      |
|                       | <br> |                    | <br> |

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 'Applicant's unique citation designation number (optional). 'See Kinds Codes of USPTO Patent Documents at www.pto.gov or MPEP 901.4. 'Enter Office that issued the document, by the two-letter code (WIPO standard ST.3). 'For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 'Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 'Applicant is to place a check mark here if English Language Translation is attached.

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